

Documents in Evidence Without Objection

Court Ex. 1

4/24/18

PX Number	DX Number	Beginning Bates	End Bates	Date	Description	Objections	Response	Date Admitted	Witness
PX0001	DX381	CLC0000142	CLC0000174	1/1/2002	NYU SOM Plan Document*			4/16/2018	Buetow, Wagner
PX0002	DX016	CLC0000285	CLC0000318	May, 2008	May 2008 Cammack Larhette Consulting Defined Contribution Retirement Plan Investment Consulting Services Proposal*			4/16/2018	Woodruff, Chittenden
PX0003	DX394	CLC0000319	CLC0000329	4/16/2008	2009 RFP*			4/16/2018	Meagher
PX0004	DX412	CLC0000330	CLC0000375	6/4/2008	Cammack DC Plan Consulting Services Presentation*			4/16/2018	Wagner
PX0005		CLC0000425	CLC0000427	3/17/2017	Letter from TIAA to NYU re: TIAA as recordkeeper for Plans			4/16/2018	Petti
PX0009	DX409	CLC0000755	CLC0000769	2/1/2017	Cammack Defined Contribution Program: Request for Proposal - Executive Analysis*			4/16/2018	Geist, Meagher
PX0012	DX411	CLC0001072	CLC0001167	May, 2008	CLC Response to IC Services Proposal*			4/16/2018	Rezler, Geist, Wagner
PX0013	DX422	CLC0001276	CLC0001348	6/4/2008	CLC Finalist Presentation*			4/16/2018	Geist
PX0034	DX457	CLC0007602	CLC0007751	3/31/2010	Cammack Due Diligence Report			4/16/2018	Meagher, Geist, Rezler, Fischel
PX0036	DX464	CLC0010158	CLC0010380	9/30/2010	Cammack Due Diligence Report*			4/16/2018	Meagher, Geist
PX0040	DX571	NYU0094959	NYU0094962	2/21/2012	2012_02_21 NYU Retirement Committee Minutes			4/16/2018	Meagher, Sanchez
PX0043	DX481	NYU0031401	NYU0031522	3/31/2012	Cammack Due Diligence Report			4/16/2018	Meagher, Geist
PX0044	DX483	CLC0014503	CLC0014635	6/30/2012	Cammack Due Diligence Report*			4/16/2018	Meagher, Geist
PX0045		CLC0014761	CLC0014891	9/4/2012	NYU Retirement Committee Meeting Materials, including Abridged 6-30-2012 Due Diligence Report			4/17/2018	Sanchez
PX0047		CLC0016219	CLC0016300	12/31/2013	Cammack Fiduciary Due Diligence Report			4/16/2018	Geist
PX0049	DX580	NYU0002865	NYU0002867	8/19/2014	2014_08_19 NYU Retirement Committee Minutes			4/16/2018	Meagher, Sanchez, Petti
PX0065	DX397	CLC0019278	CLC0019329	9/25/2009	2009 Vanguard's Response to RFP*			4/16/2018, 4/23/2018	Rezler, Heming, Wagner
PX0068	DX766	CLC0019333	CLC0019333	9/25/2009	Fee Proposal: Vanguard's All-In-Fee Disclosure for the NYU / NYUSOM / NYUHC Plans*			4/16/2018	Heming
PX0071		CLC0019365	CLC0019365	5/20/2016	NYU Bi-Weekly Project Status Meeting Notes			4/18/2018	Petti
PX0095									
PX0096	DX430	CLC0021496	CLC0021643	5/15/2009	Cammack NYU Fiduciary Due Diligence Report			4/16/2018	Sanchez, Geist, Meagher
PX0114	DX018	NYU0096711	NYU0096720	4/8/2009	April 8, 2009 Cammack Larhette Advisors, LLC Investment Advisory Services Agreement *			4/16/2018	Meagher, Sanchez
PX0119	DX020	CLC0022136	CLC0022157	06/31/2009	New York University, New York University School of Medicine, NYU Hospitals Center, Polytechnic Institute of NYU Defined Contribution Retirement Programs Request for Proposal, July 31, 2009 *			4/16/2018	Rezler, Sanchez
PX0128		CLC0022348	CLC0022371	9/3/2009	Cammack DC RFP			4/16/2018	Geist, Meagher, Chittenden
PX0130		CLC0022448	CLC0022448	2/28/2009	Investment Breakdown - NYU, NYU Langone, & NYU Polytech Retirement Plan Breakdown			4/16/2018	Geist
PX0133									
PX0134	DX398	CLC0022519	CLC0022545	10/28/2009	Cammack Analysis of RFP Results, with cover e-mail			4/16/2018	Meagher; Geist, Rezler
PX0143		CLC0022650	CLC0022653	12/2/2009	Re: Finalist meetings				Geist
PX0144									
PX0146									
PX0153	DX395	CLC0022810	CLC0023271	12/16/2009	E-mail from Jeffrey Levy to Margaret Meagher attaching 2009 Fidelity Investments Response to RFP and TIAA-CREF Response to RFP*			4/23/2018	Rezler, Wagner
PX0147									
PX0150	DX401	CLC0023345	CLC0023347	1/29/2010	E-mail dated January 29, 2010 from Jan Rezler to Nancy Sanchez, Margaret Meagher and Linda Woodruff attaching list of follow-up questions			4/16/2018	Meagher
PX0149		CLC0022785	CLC0022793	12/16/2009	RE: Participant Account Balance Report				Geist
PX0153	DX396	CLC0018650	CLC0019051	9/25/2009	2009 TIAA Response to RFP*				Wagner

ORDERED

Post on Docket

Katherine B. Forrest, USDJ

APR 24 2018

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PX Number	DX Number	Beginning Bates	End Bates	Date	Description	Objections	Response	Date Admitted	Witness
PX0157		NYU0000244 NYU0023827 NYU0000274 NYU0000241 CLC0004698 CLC0004669 TIAA_NYU_00015477 NYU0000276	NY0000257 NYU000023828 NYU0000275 NYU0000243 CLC0004703 CLC0004671 TIAA_NYU_00015487 NYU0000276	3/10/2008	2008 Faculty TIAA Recordkeeping Services Agreement with Amendments and Addendums			4/16/2018	Geist
PX0173					March 17, 2010 e-mail re: Retirement Committee Meeting, Thursday March 18, 2010 including attachments			4/17/2018	Sanchez
PX0174	DX431	CLC0023521	CLC0023539	3/17/2010	Email from J. Rezler to N. Sanchez re Clarifications from March 18 Meeting and Top Reasons to Consolidate Vendors			4/16/2018	Woodruff
PX0179		CLC0023649	CLC0023649	4/5/2010	Advantages of Consolidating an ERISA 403(b) Program into a Single Investment Provider Arrangement			4/16/2018	Woodruff
PX0181		CLC0023651	CLC0023652	4/19/2010	Retirement Plan Committee Meeting Minutes			4/16/2018	Geist; Meagher
PX0185		CLC0023676	CLC0023680	3/18/2010	2009 Retirement Committee Charter*				Wagner
PX0191	DX522	NYU0001803	NYU0001812	May, 2009	RE: [Fwd: [Fwd: New restrictions on mutual fund transactions for non-U.S. resident participants in your retirement plan(s)]]				Geist
PX0192		CLC0023943	CLC0023947	6/17/2010	Email - NYU Foreign Contract Issue				Geist
PX0193		CLC0023956	CLC0023956	6/24/2010	Rezler email to Woodruff re Refresh my memory (vanguard funds)			4/16/2018	Woodruff
PX0215		CLC0024632	CLC0024633	11/2/2010	Retirement Plan Vendor Consolidation*			4/16/2018	CDDM Binder, Wagner
PX0232	DX466	CLC0024998	CLC0025005	December, 2010	Attachment to CLC0025029: NYU Vendor Consolidation Overview For Core Leadership December 2010_v2			4/16/2018	Meagher, Geist
PX0235		CLC0025032	CLC0025039	12/13/2010	Retirement Plan Vendor Consolidation*			4/17/2018	Meagher, Wagner
PX0246	DX469	CLC0025186	CLC0025193	1/1/2011	Meagher email to Woodruff re NYU Ret Comm Mtg Agenda			4/16/2018	Woodruff
PX0247		CLC0025203	CLC0025206	1/7/2011	Email from M. Meagher to J. Rezler regarding full review twice a year			4/19/2018	Hollensteiner
PX0249		CLC0025242	CLC0025246	1/7/2011	Email - Vendor Consolidation				Buetow
PX0274		CLC0025545	CLC0025546	2/24/2011	Attachment to CLC0025545: NYU Vanguard Assets and Fund Usage				Buetow
PX0275		CLC0025547	CLC0025547	2/24/2011	June 17, 2011 e-mail re: June 9 Retirement Committee Materials, attaching the approved working draft of the Investment Policy Statement for the Committee [entered by Plaintiffs as an Exhibit in the deposition of Woodruff]			4/16/2018	Meagher, Sanchez; Woodruff
PX0321					February 4, 2013 e-mail re: Explanation of the Return of Excess Plan Expenses with attachments*			4/16/2018	Petti
PX0322	DX036	CLC0027134	CLC0027142	6/17/2011	Tocci email to Rezler re NYU Washington Square Shareclass change			4/16/2018	Heming
PX0363	DX029	CLC0036515	CLC0036521	2/4/2013	2013_06_14 NYU Retirement Committee Minutes			4/16/2018	Meagher, Sanchez, Petti, Geist
PX0365		CLC0037908	CLC0037913	6/18/2013	Attachment to CLC0038976: NYU Retirement Committee Meeting Minutes			4/17/2018	Meagher, Buetow
PX0368	DX576	NYU0002391	NYU0002394	6/14/2013	Attachment to CLC0038976: NYU Retirement Plan Committee Meeting Minutes			4/17/2018	Geist; Meagher
PX0369		CLC0038985	CLC0038987	4/19/2010	Retirement Plan Committee Meeting Minutes			4/16/2018	Geist
PX0370		CLC0038988	CLC0038990	4/1/2011	Attachment to CLC0038976: NYU Retirement Plan Committee Meeting Minutes				Geist
PX0371		CLC0038991	CLC0038994	8/15/2011	Attachment to CLC0038976: NYU Retirement Plan Committee Meeting Minutes				Geist
PX0372		CLC0038995	CLC0038998	2/22/2013	Attachment to CLC0038976: NYU Retirement Plan Committee Meeting Minutes				Geist
PX0373		CLC0038999	CLC0039002	1/10/2011	Attachment to CLC0038976: NYU Retirement Plan Committee Meeting Minutes				Geist
PX0374		CLC0039003	CLC0039005	6/14/2010	Retirement Plan Committee Meeting Minutes			4/17/2018	Meagher
PX0375	DX568	NYU0004053	NYU0004054	6/9/2011	2011_06_09 NYU Retirement Committee Minutes			4/16/2018	Meagher, Rezler
PX0376		CLC0039008	CLC0039011	3/21/2011	Attachment to CLC0038976: NYU Retirement Committee Meeting Minutes				Geist
PX0378		CLC0039016	CLC0039018	11/2/2010	Attachment to CLC0038976: NYU Retirement Committee Meeting Minutes				Buetow
PX0380	DX574	CLC0039022	CLC0039024	11/16/2012	Retirement Plan Committee Meeting Minutes			4/16/2018	Surh, Sanchez, Petti, Meagher, Buetow
PX0381		CLC0039025	CLC0039027	9/23/2010	Attachment to CLC0038976: NYU Retirement Committee Meeting Minutes				Buetow
PX0382	DX573	NYU0004050	NYU0004052	9/4/2012	2012_09_04 NYU Retirement Committee Minutes			4/16/2018	Meagher, Sanchez, Petti
PX0388	DX498	CLC0041784	CLC0041859	6/30/2014	NYU, NYU LMC, and NYU Polytechnic Fiduciary Due Diligence Report			4/16/2018	Meagher, Geist

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PX Number	DX Number	Beginning Bates	End Bates	Date	Description	Objections	Response	Date Admitted	Witness
PX0389	DX499	NYU0031099	NYU0031160	6/30/2014	Supplemental Investment Information			4/16/2018	Meagher
PX0397		CLC0049053	CLC0049054	10/27/2015	Attachment to CLC0049051: Memo Cammack to NYU HR Dept Re: NYU Cost Savings Under TIAA-CREF				Buetow
PX0404		CLC0049951	CLC0049963	4/8/2009	Attachment to CLC0049936: Cammack Investment Advisory Services Agreement			4/16/2018	Geist, Dorph
PX0433	DX512	NYU0163130	NYU0163204	2/27/2017	Cammack Supplemental Investment Information			4/16/2018	Meagher
PX0447		CLC0065106	CLC0065106	7/11/2017	Email Petti to Rezler Re: Important notice about Columbia U Retirement program			4/18/2018	Petti
PX0448		CLC0065107	CLC0065108	7/11/2017	Attachment to CLC0065106: Important notice about Columbia U Retirement program			4/18/2018	Petti
PX0451		CLC0065161	CLC0065166	6/30/2016	Attachment to CLC0065157: TIAA and CREF contract comparison			4/18/2018	Petti
PX0456	DX142	NYU0000277	NYU0000309	4/30/2015	Vanguard All-in Fee Disclosure - NYU*			4/16/2018	Meagher, Geist, Petti, Wagner
PX0457	DX138	NYU0000385	NYU0000407	4/30/2012	Vanguard All-in Fee Disclosure - NYU*			4/16/2018	Meagher, Petti, Wagner
PX0458	DX577	NYU0002092	NYU0002094	11/25/2013	2013_11_25 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Petti, Surh
PX0460	DX566	NYU0002099	NYU0002102	3/21/2011	2011_03_21 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Sanchez, Petti, Hollensteiner; Woodruff, Surh
PX0461	DX579	NYU0002384	NYU0002386	5/22/2014	2014_05_22 NYU Retirement Committee Minutes			4/18/2018	Surh, Sanchez, Petti, Meagher
PX0462	DX551	NYU0002387	NYU0002387	9/13/2007	2007_09_13 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Sanchez, Hollensteiner
PX0463	DX563	NYU0002388	NYU0002390	9/23/2010	2010_09_23 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Geist, Sanchez, Hollensteiner
PX0466	DX523	NYU0002543	NYU0002547	9/28/2012	2012 NYU, NYU School of Medicine, and NYU Hospitals Center Retirement Plan Committee Charter*			4/16/2018	Meagher, Wagner
PX0467	DX575	NYU0002557	NYU0002560	2/22/2013	2013_02_22 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Sanchez, Petti, Surh
PX0468	DX581	NYU0002714	NYU0002715	10/3/2014	2014_10_03 NYU Ad Hoc Defined Benefit Minutes			4/18/2018	Surh, Sanchez
PX0469	DX582	NYU0002779	NYU0002782	12/11/2014	2014_12_11 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Sanchez, Petti
PX0470	DX557	NYU0002885	NYU0002886	12/9/2009	2009_12_09 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Sanchez, Hollensteiner
PX0471	DX588	NYU0002889	NYU0002891	6/1/2016	2016_06_01 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Sanchez, Halley, Petti
PX0472	DX570	NYU0003083	NYU0003085	11/14/2011	2011_11_14 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Sanchez, Surh, Rezler
PX0473	DX555	NYU0003086	NYU0003089	5/15/2009	2009_05_15 Due Diligence Meeting Notes*			4/16/2018	Meagher, Geist, Sanchez
PX0474	DX586	NYU0003275	NYU0003278	12/16/2015	2015_12_16 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Halley, Petti; Heming
PX0476	DX553	NYU0003671	NYU0003673	10/8/2008	2008_10_08 Defined Contribution Investment Consulting Services Meeting Notes*			4/16/2018	Meagher, Geist, Sanchez
PX0477	DX565	NYU0003674	NYU0003677	1/10/2011	2011_01_10 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Geist, Sanchez, Petti; Woodruff; Heming, Surh
PX0478	DX552	NYU0003846	NYU0003848	1/31/2008	2008_01_31 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Geist, Sanchez
PX0479	DX583	NYU0003948	NYU0003950	2/26/2015	2015_02_26 NYU Retirement Committee Notes*			4/16/2018	Meagher, Sanchez, Petti
PX0480	DX561	NYU0004040	NYU0004042	4/19/2010	2010_04_19 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Geist, Sanchez; Woodruff
PX0481	DX567	NYU0004047	NYU0004049	4/1/2011	2011_04_01 NYU Retirement Committee Minutes*			4/16/2018	Petti, Sanchez, Meagher; Woodruff, Surh
PX0482	DX572	NYU0004055	NYU0004058	5/17/2012	2012_05_17 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Sanchez, Petti, Surh
PX0483		NYU0004345	NYU0004365	6/14/2010	Cammack Supplemental Fiduciary Committee Information			4/18/2018	Geist, Buetow
PX0484	DX585	NYU0005069	NYU0005071	9/15/2015	2015_09_15 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Sanchez, Halley, Petti
PX0485	DX564	NYU0005074	NYU0005076	11/2/2010	2010_11_02 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Sanchez, Surh
PX0486		NYU0005498	NYU0005499	10/27/2015	NYU Cost Savings under TIAA-CREF			4/16/2018	Meagher, Geist
PX0487		NYU0085001	NYU0005476	2/1/2011	Retirement Plan Vendor Consolidation			4/19/2018	Hollensteiner; Woodruff
PX0494	DX558	NYU0006123		1/21/2010	Retirement Plan Committee Meeting Minutes			4/16/2018	Meagher, Sanchez, Buetow
PX0495	DX141	NYU0006519	NYU0006543	3/31/2014	Vanguard All-in Fee Disclosure - NYU*			4/16/2018	Wagner
PX0496	DX140	NYU0007105	NYU0007127	3/31/2013	Vanguard All-in Fee Disclosure - NYU*			4/16/2018	Wagner
PX0497	DX144	NYU0007185	NYU0007217	8/25/2016	Vanguard All-in Fee Disclosure - NYU*			4/16/2018	Meagher, Geist, Halley, Petti, Wagner

PX Number	DX Number	Beginning Bates	End Bates	Date	Description	Objections	Response	Date Admitted	Witness
PX0499	DX476	NYU0007634	NYU0007690	6/30/2011	Fiduciary Due Diligence Report - Abridged Version*			4/16/2018	Meagher, Geist
PX0500	DX475	NYU0007827	NYU0007832	6/30/2011	Fiduciary Responsibility and Process Review*			4/16/2018	CDDM Binder
PX0504		NYU0009295	NYU0009302	1/1/2011	Attachment to NYU0009294: Cammack NYU Retirement Plan Vendor Consolidation			4/17/2018	Meagher, Geist, Rezler
PX0507		NYU0012664	NYU0012780	12/31/2011	Attachment to NYU0012656: NYU and NYU LMC Fiduciary Due Diligence Report			4/16/2018	Geist
PX0508	DX480	NYU0012571	NYU0012644	12/31/2011	Fiduciary Due Diligence Report - Abridged Version			4/16/2018	Meagher
PX0513		NYU0019355	NYU0019355	12/9/2009	NYU Washington Square Vanguard Fund Lineup in NYU 403(b) Plans compared to NYU Medical Center and School of Medicine				Buetow
PX0514	DX629	NYU0025904	NYU0025905	3/28/2011	E-mail from Linda Woodruff to Susanna Hollnsteiner re: Agenda plus for Fri Retirement Committee Meeting*			4/16/2018	Woodruff
PX0518	DX525	NYU0026251	NYU0026255	1/1/2017	2017 NYU, NYU School of Medicine, NYU Hospitals Center Retirement Plan Committee Charter*			4/16/2018	Sanchez, Meagher, Wagner
PX0519	DX590	NYU0026298	NYU0026300	12/12/2016	2016 12 12 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Sanchez, Halley, Petti
PX0520	DX589	NYU0026303	NYU0026306	9/8/2016	2016 09 08 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Halley, Petti
PX0522		NYU0026645	NYU0026647	2/4/2009	Retirement Plan Committee Meeting Minutes			4/16/2018	Geist
PX0526		NYU0028134	NYU0028157	10/28/2009	Cammack RFP Results			4/16/2018	Geist, Meagher, Chittenden
PX0529	DX491	NYU0030003	NYU0030057	6/30/2013	06.30.2013 Supplemental Investment Information*			4/16/2018	Meagher
PX0530	DX485	NYU0030499	NYU0030595	9/30/2012	Cammack Due Diligence Report*			4/16/2018	Meagher, Fischel, Geist
PX0531	DX482	NYU0030821	NYU0030899	3/31/2012	Fiduciary Due Diligence Report - Abridged Version*			4/16/2018	Meagher, Geist
PX0532		NYU0031773	NYU0031774	10/1/2015	Enhancements to NYU's Retirement Program (draft)			4/19/2018	Petti
PX0533	DX015	NYU0034912	NYU0034921	May, 2009	Retirement Plan Committee Charter *			4/16/2018	Meagher, Geist, Sanchez; Hollensteiner, Woodruff
PX0534	DX385	NYU0035643	NYU0035656	6/9/2011	Investment Policy Statement For The Defined Contribution Retirement Plans of NYU, NYU LMC, and NYU Polytechnic Institute - Approved working draft*			4/16/2018	Wagner
PX0537		NYU0039392	NYU0039393	2/26/2015	Attachment to NYU0039390: Impact of TIAA-CREF Share Class Change on Excess Revenue				Geist
PX0538	DX496	NYU0039522	NYU0039580	3/31/2014	03.31.2014 Supplemental Investment Information*			4/16/2018	Meagher
PX0544	DX505	NYU0054120	NYU0054200	9/15/2015	NYU LMC and NYU Polytechnic Institute Fiduciary Due Diligence Report			4/16/2018	Meagher, Geist
PX0548		NYU0082382	NYU0082462	12/31/2014	NYU, NYU LMC, and NYU Polytechnic Fiduciary Due Diligence Report			4/16/2018	Geist
PX0549	DX503	NYU0030375	NYU0030431	12/31/2014	Supplemental Investment Information			4/16/2018	Meagher
PX0553	DX559	NYU0084725	NYU0084728	2/18/2010	Retirement Plan Committee Meeting Minutes			4/16/2018	Meagher, Sanchez, Buetow
PX0554		NYU0085060	NYU0085064	10/9/2013	Resolution approving freeze of DC Retirement Plan and Incorporation of Plan-to-Plan Transfers Provisions from the Plan - Board of Trustees of Polytechnic Institute of NYU			4/16/2018	Meagher
PX0559		NYU0094122	NYU0094126	3/18/2009	NYU Retirement Plan Committee Meeting Minutes			4/16/2018	Woodruff
PX0598	DX463	NYU0095952	NYU0095968	9/23/2010	Vendor Consolidation*			4/16/2018	CDDM Binder, Wagner
PX0599		NYU0096264	NYU0096264	12/8/2016	Cammack Memo re RFP Process Overview			4/18/2018	Geist
PX0600		NYU0096380	NYU0096382	6/14/2010	NYU Retirement Committee Meeting Minutes				Geist
PX0602		NYU0096592	NYU0096595	3/21/2011	403(b) Vendor Consolidation			4/16/2018	Meagher
PX0603	DX468	NYU0096639	NYU0096657	12/31/2010	NYU and NYU Langone Medical Center Executive Summary of Fiduciary Due Diligence Report*			4/16/2018	Meagher, Geist
PX0616		NYU0100381	NYU0100517	3/31/2011	Cammack NYU Fiduciary Due Diligence Report			4/16/2018	Geist
PX0617		NYU0102304	NYU0102451	5/15/2009	Cammack NYU Fiduciary Due Diligence Report			4/16/2018	Geist
PX0618		NYU0103685	NYU0103802	12/31/2010	NYU and NYU Langone Medical Center Due Diligence Report			4/16/2018	Geist
PX0626		NYU0109008	NYU0109026	1/10/1978	Letter re: Summary Plan Descriptions; Enhancements to NYU's Retirement Program			4/16/2018	Geist, Meagher
PX0630		NYU0112654	NYU0112662	8/11/2016	Emails re: Investment Policy Statement			4/18/2018	Petti; Halley
PX0647	DX508	NYU0002892	NYU0003002	6/1/2016	NYU Retirement Committee Meeting Materials, including 3-31-2016 Due Diligence Report			4/16/2018	Meagher
PX0650	DX146	NYU0161158	NYU0161177	6/30/2013	NYU SOM 403(b) Retirement Plan Investment Options Comparative Chart*			4/16/2018	Wagner

PX Number	DX Number	Beginning Bates	End Bates	Date	Description	Objections	Response	Date Admitted	Witness
PX0651		NYU0161178	NYU0161193	12/31/2011	NYU SoM 403(b) Retirement Plan Investment Options Comparative Chart			4/16/2018	Geist
PX0652	DX176	NYU0161209	NYU0161233	5/24/2012	Vanguard All-in Fee Disclosure - NYU SOM*			4/16/2018	Meagher, Wagner
PX0654	DX085	NYU0161618	NYU0161635	3/31/2014	NYU Retirement Plan Investment Options Comparative Chart			4/18/2018	Petti, Buetow
PX0656	DX082	NYU0000111	NYU0000128	3/31/2013	NYU Retirement Plan Investment Options Comparative Chart, as of 3/31/2013			4/18/2018	Petti
PX0657	DX081	NYU0161772	NYU0161789	12/31/2011	TIAA NYU Investment Options Comparative Chart			4/18/2018	Petti, Buetow
PX0662	DX515	NYU0162846	NYU0162955	9/7/2017	NYU Retirement Committee Meeting Materials, including 6-30-2017 Due Diligence Report*			4/16/2018	Meagher, Halley, Petti, Chittenden
PX0663	DX516	NYU0162956	NYU0163027	9/7/2017	Cammack Supplemental Investment Information*			4/16/2018	Meagher
PX0670	DX160	TIAA_NYU_00000049	TIAA_NYU_00000054	12/31/2011	Dear Plan Sponsor Letter with Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Wagner
PX0672	DX162	TIAA_NYU_00000056	TIAA_NYU_00000059	12/31/2012	NYU SOM Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Chittenden, Wagner
PX0673	DX163	TIAA_NYU_00000060	TIAA_NYU_00000066	12/31/2012	NYU SOM Service Provider Summary*			4/16/2018	Meagher, Wagner
PX0674	DX161	TIAA_NYU_00000067	TIAA_NYU_00000067	12/31/2012	NYU SOM Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0676	DX165	TIAA_NYU_00000069	TIAA_NYU_00000073	12/31/2013	TIAA Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Halley, Wagner
PX0677	DX166	TIAA_NYU_00000074	TIAA_NYU_00000080	12/31/2013	NYU SOM Service Provider Summary*			4/16/2018	Meagher, Petti, Wagner
PX0678	DX164	TIAA_NYU_00000081	TIAA_NYU_00000081	12/31/2013	NYU SOM - Summary of Fees and Compensation for Your Plan TIAA-CREF*			4/16/2018	Geist, Wagner
PX0680	DX168	TIAA_NYU_00000083	TIAA_NYU_00000087	12/31/2014	NYU SOM Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Wagner
PX0681	DX169	TIAA_NYU_00000088	TIAA_NYU_00000094	12/31/2014	NYU SOM Service Provider Summary*			4/16/2018	Meagher, Petti, Wagner
PX0682	DX167	TIAA_NYU_00000095	TIAA_NYU_00000095	12/31/2014	NYU SOM Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0684	DX171	TIAA_NYU_00000107	TIAA_NYU_00000111	12/31/2015	NYU SOM Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Wagner
PX0685	DX172	TIAA_NYU_00000112	TIAA_NYU_00000119	12/31/2015	NYU SOM Service Provider Summary*			4/16/2018	Meagher, Petti, Wagner
PX0686	DX170	TIAA_NYU_00000120	TIAA_NYU_00000120	12/31/2015	NYU SOM Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0688	DX174	TIAA_NYU_00000122	TIAA_NYU_00000125	12/31/2016	NYU SOM Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Wagner
PX0689	DX175	TIAA_NYU_00000126	TIAA_NYU_00000133	12/31/2016	NYU SOM Service Provider Summary*			4/16/2018	Meagher, Geist, Wagner
PX0690	DX173	TIAA_NYU_00000134	TIAA_NYU_00000134	12/31/2016	NYU SOM Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0692	DX093	TIAA_NYU_00000213	TIAA_NYU_00000214	12/31/2010	NYU Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Petti, Wagner
PX0693	DX094	TIAA_NYU_00000244	TIAA_NYU_00000246	12/31/2010	NYU Service Provider Summary*			4/16/2018	Meagher, Petti, Wagner
PX0694	DX092	TIAA_NYU_00000254	TIAA_NYU_00000254	12/31/2010	NYU Summary of Fees and Compensation for your Plan*			4/16/2018	Geist, Wagner
PX0696	DX096	TIAA_NYU_00000869	TIAA_NYU_00000870	12/31/2011	NYU Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Petti, Wagner
PX0697	DX097	TIAA_NYU_00000902	TIAA_NYU_00000904	12/31/2011	NYU Service Provider Summary*			4/16/2018	Meagher, Petti, Wagner
PX0698	DX095	TIAA_NYU_00000912	TIAA_NYU_00000912	12/31/2011	NYU Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0700	DX099	TIAA_NYU_00001578	TIAA_NYU_00001579	12/31/2012	NYU Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Petti, Wagner
PX0701	DX100	TIAA_NYU_00001612	TIAA_NYU_00001615	12/31/2012	NYU Service Provider Summary*			4/16/2018	Meagher, Petti
PX0702	DX098	TIAA_NYU_00001627	TIAA_NYU_00001627	12/31/2012	NYU Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0704	DX103	TIAA_NYU_00002308	TIAA_NYU_00002309	12/31/2013	NYU Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Petti, Wagner
PX0705	DX864	TIAA_NYU_00002337	TIAA_NYU_00002337	12/31/2013	Revenue Credit Account Report - Faculty Plan*			4/16/2018	Petti
PX0706	DX104	TIAA_NYU_00002345	TIAA_NYU_00002347	12/31/2013	NYU Service Provider Summary*			4/16/2018	Meagher, Petti, Wagner
PX0707	DX102	TIAA_NYU_00002355	TIAA_NYU_00002355	12/31/2013	NYU Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0709	DX106	TIAA_NYU_00003096	TIAA_NYU_00003097	12/31/2014	NYU Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Petti, Wagner
PX0710	DX865	TIAA_NYU_00003131	TIAA_NYU_00003131	12/31/2014	Revenue Credit Account Report - Faculty Plan*			4/16/2018	Petti
PX0711	DX107	TIAA_NYU_00003139	TIAA_NYU_00003141	12/31/2014	NYU Service Provider Summary*			4/16/2018	Meagher, Petti, Wagner
PX0712	DX105	TIAA_NYU_00003149	TIAA_NYU_00003149	12/31/2014	NYU Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0713	DX109	TIAA_NYU_00003942	TIAA_NYU_00003943	12/31/2015	NYU Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Petti, Wagner
PX0714	DX866	TIAA_NYU_00003977	TIAA_NYU_00003977	12/31/2015	Revenue Credit Account Report - Faculty Plan*			4/16/2018	Petti
PX0715	DX110	TIAA_NYU_00003985	TIAA_NYU_00003987	12/31/2015	NYU Service Provider Summary*			4/16/2018	Meagher, Petti, Wagner
PX0716	DX108	TIAA_NYU_00003997	TIAA_NYU_00003997	12/31/2015	NYU Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0718	DX112	TIAA_NYU_00004772	TIAA_NYU_00004773	12/31/2016	NYU Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Petti, Wagner
PX0719	DX867	TIAA_NYU_00004811	TIAA_NYU_00004811	12/31/2016	Revenue Credit Account Report - Faculty Plan*			4/16/2018	Petti
PX0720	DX113	TIAA_NYU_00004819	TIAA_NYU_00004821	12/31/2016	NYU Service Provider Summary*			4/16/2018	Meagher, Petti, Wagner

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PX0721	DX111	TIAA_NYU_00004830	TIAA_NYU_00004830	12/31/2016	NYU Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0731	DX782	TIAA_NYU_00014168	TIAA_NYU_00014287	8/1/2013	TIAA Retirement Annuity Contract (to participant MONACO)*			4/19/2018	Monaco
PX0737	DX780	TIAA_NYU_00014751	TIAA_NYU_00014870	12/1/2004	TIAA Retirement Annuity Contract (to participant SAMUELS)*			4/16/2018	Geist
PX0743	DX101	TIAA_NYU_00015338	TIAA_NYU_00015345	12/31/2012	Dear Plan Sponsor Letter with Investment Fee & Expense Disclosure*			4/16/2018	Geist, Wagner, Petti, Turki
PX0747	DX158	TIAA_NYU_00015726	TIAA_NYU_00015727	12/31/2011	NYU SOM Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Petti, Wagner
PX0748	DX159	TIAA_NYU_00015750	TIAA_NYU_00015751	12/31/2011	NYU SOM Service Provider Summary*			4/16/2018	Meagher, Petti, Wagner
PX0749	DX157	TIAA_NYU_00015756	TIAA_NYU_00015756	12/31/2011	NYU SOM Summary of Fees and Compensation for Your Plan*			4/16/2018	Meagher, Geist, Petti, Wagner
PX0751	DX155	TIAA_NYU_00016191	TIAA_NYU_00016191	12/31/2010	NYU SOM Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Wagner
PX0752	DX156	TIAA_NYU_00016220	TIAA_NYU_00016221	12/31/2010	NYU SOM Service Provider Summary*			4/16/2018	Meagher, Wagner
PX0753	DX154	TIAA_NYU_00016225	TIAA_NYU_00016225	12/31/2010	NYU SOM Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0755	DX090	TIAA_NYU_00016896	TIAA_NYU_00016897	12/31/2009	NYU Investment Fee & Expense Disclosure*			4/16/2018	Geist, Wagner
PX0756	DX091	TIAA_NYU_00016925	TIAA_NYU_00016927	12/31/2009	NYU Service Provider Summary*			4/16/2018	Wagner
PX0757	DX089	TIAA_NYU_00016935	TIAA_NYU_00016935	12/31/2009	NYU Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0759	DX152	TIAA_NYU_00017438	TIAA_NYU_00017439	12/31/2009	NYU SOM Investment Fee & Expense Disclosure*			4/16/2018	Geist, Wagner
PX0760	DX153	TIAA_NYU_00017462	TIAA_NYU_00017463	12/31/2009	NYU SOM Service Provider Summary*			4/16/2018	Wagner
PX0761	DX151	TIAA_NYU_00017467	TIAA_NYU_00017467	12/31/2009	NYU SOM Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0773	DX402	CLC0019101	CLC0019116	2/11/2010	TIAA-CREF Response to Additional Questions for NYU, NYU Langone Medical Center and NYU Polytechnic Institute, dated February 11, 2010*			4/23/2018	Rezler
PX0781		TIAA_NYU_00030743	TIAA_NYU_00030768	5/3/2017	TIAA Letter re Important Information about your retirement plan				Buetow
PX0827		TIAA_NYU_00044715	TIAA_NYU_00044724	12/31/2011	NYU School of Medicine Investment Options Comparative Chart				Buetow
PX0831		TIAA_NYU_00054957	TIAA_NYU_00054958	5/12/2010	Email from M. Meagher to P. Hueber re issues related to client moves, SRK			4/16/2018	Meagher
PX0842		TIAA_NYU_00056900	TIAA_NYU_00056901	8/26/2009	Email from P. Hueber to M. Meagher regarding TIAA RFP			4/16/2018	Meagher
PX0845		TIAA_NYU_00057399	TIAA_NYU_00057400	11/13/2009	Email Meagher to Hueber Re: RFP and TIAA facing some skeptics			4/17/2018	Meagher
PX0846		TIAA_NYU_00057405	TIAA_NYU_00057405	12/4/2009	Email Hueber to Meagher Re: NYU Retirement Plan				Geist
PX0851		TIAA_NYU_00059438	TIAA_NYU_00059468	2/25/2011	Attachment to TIAA_NYU_00059436: NYU Plan Changes Proposal DRAFT				Geist
PX0862		TIAA_NYU_00065503	TIAA_NYU_00065505	6/30/2017	NYU Plan Economics Reconciliation Report TIAA-CREF			4/16/2018	Geist
PX0864		TIAA_NYU_00065537	TIAA_NYU_00065542	9/30/2017	NYU SoM: Plan Economics Reconciliation Report TIAA			4/16/2018	Geist
PX0867	DX649	TIAA_NYU_00081731	TIAA_NYU_00081757	10/14/2014	TIAA REA 8-K, includes FAQs*			4/16/2018	Buetow
PX0880		TIAA_NYU_00083604	TIAA_NYU_00083687	12/16/2009	Cammack - The New World of 403(b): Audits, Filing and Regulatory Compliance				Geist
PX0887		TIAA_NYU_00086131	TIAA_NYU_00086226	12/1/2016	TIAA Retirement Annuity Contract (to participant STEFAN)			4/16/2018	Meagher
PX0892		Vanguard-NYU_00000008	Vanguard-NYU_00000010	8/13/2012	Vanguard Letter to NYU SoM re: Asset Transfer Out Engagement for multiple Plans			4/16/2018	Meagher
PX0899	DX407	Vanguard-NYU_0000320	Vanguard-NYU_0000382	11/16/2016	2016 Vanguard RFP Response*			4/16/2018	Rezler, Geist, Wagner
PX0902	DX034	Vanguard-NYU_0001203	Vanguard-NYU_0001218	6/30/2011	Vanguard All-in fee disclosure for NYU (as of June 30, 2011) [entered by Plaintiffs in the deposition of Heming]*			4/16/2018	Meagher, Geist, Petti, Heming
PX0904	DX143	Vanguard-NYU_0001296	Vanguard-NYU_0001328	6/30/2015	Vanguard All-in Fee Disclosure - NYU*			4/16/2018	Buetow, Wagner
PX0905		Vanguard-NYU_0001443	Vanguard-NYU_0001468	6/30/2017	Vanguard All-in Fee Disclosure - NYU			4/16/2018	Geist
PX0910		Vanguard-NYU_0001537	Vanguard-NYU_0001538	4/30/2013	Vanguard NYU-2013 Lowest SC Prior Month Assets 2012				Geist
PX0918		Vanguard-NYU_0033605	Vanguard-NYU_0033613	8/17/2017	Vanguard All-in Fee Disclosure - NYU			4/16/2018	Geist
PX0924		Vanguard-NYU_0067367	Vanguard-NYU_0067427	12/31/2009	Vanguard Overview			4/16/2018	Geist
PX0929				12/31/2017	CREF Stock Account Class R3 Fact Sheet			4/18/2018	Chittenden
PX0931				9/30/2017	TIAA Real Estate Account Quarterly Performance Analysis				Buetow
PX0932				12/31/2017	TIAA Real Estate Account Fund Fact Sheet			4/16/2018	Chittenden
PX0933				12/31/2011	TIAA Real Estate Quarterly Analysis				Buetow

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PX0937		NYU0106544 NYU0000589 NYU00001690 NYU0001705 NYU0000611	NYU0106565 NYU0000592 NYU00001693 NYU00001707 NYU0000612	10/1/2012	October 1, 2012 TIAA-CREF Recordkeeping Services Agreement for the Medical Plan with Amendments and Addendums			4/16/2018	Geist
PX0940	DX379	NYU0042542	NYU0042578	1/1/2014	New York University Retirement Plan for Members of the Faculty, Professional Research Staff and Administration, Amended and Restated Effective January 1, 2014			4/16/2018	Meagher, Halley, Wagner
PX0944		TIAA_NYU_00032598	TIAA_NYU_00032620	1/1/2002	NYU Retirement Plan for Members of Faculty, Professional Research Staff and Administration			4/16/2018	Meagher, Geist
PX0949		Vanguard-NYU_0027091 Vanguard-NYU_0033869	Vanguard-NYU_0027095 Vanguard-NYU_0033871	10/2/2008	10-2-2008 Vanguard Recordkeeping Fee Agreement			4/16/2018	Heming
PX0959	DX517	NYU0164396	NYU0164511	12/11/2017	NYU Retirement Committee Meeting Materials, including 9-30-2017 Due Diligence Report*			4/16/2018	Meagher, Sanchez, Halley, Petti
PX0960	DX518	NYU0164512	NYU0164585	12/11/2017	Cammack Supplemental Investment Information*			4/16/2018	Meagher
PX0962	DX519	NYU0164593	NYU0164701	3/16/2018	NYU Retirement Committee Meeting Materials*			4/16/2018	Meagher, Sanchez, Halley, Petti
PX0964	DX123	NYU0164791	NYU0164792	12/31/2017	Investment Fee & Expense Disclosure*			4/16/2018	Petti, Geist, Wagner
PX0967	DX137	NYU0164817	NYU0164817	12/13/2017	Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0968	DX183	NYU0165002	NYU0165005	12/31/2017	NYU SOM Investment Fee & Expense Disclosure*			4/16/2018	Geist, Wagner
PX0969	DX185	NYU0165013	NYU0165013	12/31/2017	NYU SOM Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0972	DX413	CLC0001265	CLC0001275	6/4/2008	Cammack Defined Contribution Plan Observations*			4/16/2018	Geist, Meagher
PX0974	DX393	CLC0002586	CLC0002626	1/1/2009	NYU School of Medicine Retirement Plan for Faculty Effective January 1, 2009*			4/16/2018	Geist, Wagner
PX0976		CLC0009559	CLC0009559		Advantages of Consolidation to a Single Vendor			4/16/2018	Meagher, Geist
PX1001	DX458	NYU0096809	NYU0096815	3/31/2010	Addendum-Watch List Funds			4/16/2018	Meagher, Wagner
PX1014		CLC0024335	CLC0024335	9/23/2010	NYU Retirement Plan Committee Meeting Minutes			4/19/2018	Hollensteiner
PX1025		CLC0024662	CLC0024663	11/2/2010	Email from J. Rezler to L. Woodruff re NYU Vendor Consolidation Information			4/16/2018	Woodruff
PX1037		CLC0025153	CLC0025166	1/1/2011	Attachment to CLC0025143: NYU Vendor Consolidation Overview For Core Leadership_December 2010_12162010 edits				Buetow
PX1039	DX037	CLC0025238	CLC0025241	1/7/2011	January 7, 2011 e-mail from Jan Rezler re: NYU Retirement Committee Meeting *			4/16/2018	Meagher
PX1040									
PX1041									
PX1042									
PX1043									
PX1044	DX435	CLC0025247	CLC0025273	1/10/2011	E-mail dated January 10, 2011 from Linda Woodruff to Jan Rezler, et al. attaching materials for Retirement Committee Meeting			4/17/2018	Meagher, Sanchez, Surh
PX1044		CLC0025260	CLC0025273	1/10/2011	NYU and NYU LMC Fiduciary Due Diligence Report			4/18/2018	Geist
PX1070		CLC0026103	CLC0026106	4/1/2011	NYU_RetirementCommitteeMinutes_March2011_CURRENT DRAFT.doc				Buetow
PX1072		CLC0026188	CLC0026206	12/31/2010	NYU and NYU LMC Executive Summary of Fiduciary Due Diligence Report			4/18/2018	Geist
PX1073	DX467	CLC0010875	CLC0010992	12/31/2010	Cammack Due Diligence Report			4/16/2018	Meagher, Geist
PX1087									
PX1088									
PX1089									
PX1090									
PX1091									
PX1092									
PX1093									
PX1094									
PX1095									
PX1096	DX437	CLC0026870	CLC0027100	6/2/2011	June 2, 2011 e-mail to Committee with Cammack Due Diligence Report			4/18/2018	Meagher, Sanchez
PX1092	DX471	NYU0097546	NYU0097600	3/31/2011	Fiduciary Due Diligence Report - Abridged Version			4/16/2018	Meagher

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PX1093	DX472	CLC0011629	CLC0011765	3/31/2011	Cammack Due Diligence Report			4/16/2018	Meagher, Buetow
PX1102	DX438	CLC0027428	CLC0027631	8/8/2011	E-mail to Committee with 6-30-2011 Cammack Due Diligence Report; 6-2011 Retirement Meeting Minutes; August Meeting Agenda Timeline; NYU Draft Communication; NYU Due Diligence Report Q2 2011, June 2011 NYU Fiduciary Responsibility; NYU Mapping Sample Timeline			4/16/2018	Meagher, Sanchez
PX1103									
PX1104									
PX1105									
PX1106									
PX1107									
PX1108									
PX1109									
PX1104		CLC0027432	CLC0027488	6/30/2011	NYU LMC Fiduciary Due Diligence Report - Abridged Version			4/18/2018	Geist
PX1107	DX474	CLC0012620	CLC0012753	6/30/2011	Cammack Due Diligence Report			4/16/2018	Meagher, Geist
PX1108		CLC0027625	CLC0027630	6/30/2011	NYU and NYU LMC Fiduciary Responsibility and Process Review				Geist
PX1116		CLC0027671	CLC0027671	8/15/2011	NYU and NYU LMC Retirement Plans - Additional Topics for Discussion			4/18/2018	Geist
PX1135									
PX1136									
PX1137									
PX1138									
PX1139									
PX1140									
PX1141									
PX1142									
PX1143									
PX1144									
PX1145	DX439	CLC0028807	CLC0029081	11/7/2011	E-mail to Committee with November 2011 Committee Meeting Materials			4/18/2018	Meagher, Sanchez
PX1143	DX478	NYU0007443	NYU0007490	9/30/2011	Fiduciary Due Diligence Report - Abridged Version			4/16/2018	Meagher, Geist, Buetow
PX1144		CLC0028948	CLC0029068	9/30/2011	NYU LMC Fiduciary Due Diligence Report			4/16/2018	Geist
PX1174		CLC0033709	CLC0033787	3/31/2012	NYU and NYU LMC Fiduciary Due Diligence Report - Abridged Version			4/18/2018	Geist
PX1187	DX442	CLC0035959	CLC0036203	11/9/2012	E-mail to Committee with 9-30-2012 Cammack Due Diligence Report; September 2012 Retirement Committee Minutes; Vanguard Report			4/16/2018	Meagher, Sanchez, Petti
PX1188									
PX1189									
PX1190									
PX1191									
PX1190		CLC0035967	CLC0036063	9/30/2012	NYU and NYU LMC Fiduciary Due Diligence Report			4/16/2018	Geist
PX1198	DX443	CLC0036610	CLC0036737	2/15/2013	E-mail to Committee with 11-2012 Committee Minutes; 12-31-2012 Cammack Due Diligence Report; 12-31-2012 Supplemental Investment Information; Current and Sample Fund Choice Grids			4/18/2018	Meagher, Sanchez, Petti
PX1199									
PX1200									
PX1201									
PX1202									
PX1203									
PX1201									
PX1201	DX486	CLC0036615	CLC0036681	12/31/2012	Cammack NYU Fiduciary Due Diligence Report			4/16/2018	Meagher, Geist
PX1202	DX487	NYU0097746	NYU0097796	12/31/2012	Supplemental Investment Information			4/16/2018	Meagher
PX1209		CLC0037687	CLC0037687	6/14/2013	NYU Retirement Committee Meeting Agenda			4/17/2018	Meagher, Sanchez
PX1212	DX488	CLC0037694	CLC0037762	3/31/2013	NYU LMC Fiduciary Due Diligence Report			4/16/2018	Meagher, Geist
PX1213	DX489	NYU0100754	NYU0100813	3/31/2013	Supplemental Investment Information			4/16/2018	Meagher
PX1216		CLC0037848	CLC0037848	2/22/2013	Vanguard Share Class Change and Fee Arrangement			4/16/2018	Heming
PX1218	DX490	CLC0038071	CLC0038128	6/30/2013	Cammack NYU Fiduciary Due Diligence Report			4/17/2018	Geist; Meagher

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PX1221 PX1222 PX1223 PX1224 PX1225 PX1226 PX1227 PX1228	DX445	CLC0038632	CLC0038784	11/18/2013	E-mail to Committee with 6-2013 Minutes; 11-2013 Meeting Agenda; 9-28-2012 Charter; Proposed changes to NYU Retirement Plan; 5-2011 Draft Investment Policy Statement; Q3 2013 Cammack Due Diligence Report; Q3 2013 Supplemental Investment Information			4/18/2018	Meagher, Sanchez, Petti
PX1227		CLC0038654	CLC0038722	9/30/2013	Attachment to CLC0038632: NYU LMC and NYU Polytechnical Institute Fiduciary Due Diligence Report			4/16/2018	Geist
PX1230		CLC0039503	CLC0039504	2/19/2014	Email Wrightson to Committee Re: NYU/NYU Langone Committee Meeting Materials - February 26, 2014			4/16/2018	Meagher, Sanchez, Petti
PX1231		CLC0039505	CLC0039507	11/25/2013	Attachment to CLC0039503: Retirement Committee Meeting Minutes			4/16/2018	Meagher, Sanchez, Petti
PX1232		CLC0039508	CLC0039508	2/26/2014	Attachment to CLC0039503: NYU Retirement Committee Meeting Agenda			4/16/2018	Meagher, Sanchez, Petti
PX1233	DX494	CLC0039509	CLC0039590	12/31/2013	Attachment to CLC0039503: NYU LMC and NYU Polytechnical Institute Fiduciary Due Diligence Report			4/16/2018	Meagher, Sanchez, Petti, Geist
PX1234	DX495	CLC0039591	CLC0039639	12/31/2013	Attachment to CLC0039503: NYU, NYU LMC, and NYU Polytechnic Supplemental Investment Information			4/16/2018	Meagher, Sanchez, Petti
PX1235		CLC0039640	CLC0039647	2/26/2014	Attachment to CLC0039503: NYU, NYU LMC, and NYU Polytechnic Investment Policy Statement DRAFT			4/16/2018	Meagher, Sanchez, Petti
PX1236		CLC0039648	CLC0039648	2/26/2014	Attachment to CLC0039503: Sample Language for Evaluating Sharpe Ratio in Investment Policy Statements			4/16/2018	Meagher, Sanchez, Petti
PX1239 PX1240 PX1241 PX1242 PX1243 PX1244 PX1245 PX1246 PX1247 PX1248 PX1249	DX446	CLC0040656	CLC0040861	5/16/2014	E-mail to Committee with 2-2014 Minutes; 5-22 Agenda; 5-2014 Investment Policy Statement; Q1 2014 Cammack Due Diligence Report; Q1 Supplemental DDRS; Q1 Lipper Report; 6-14-2010 Minutes; 6-14-2010 Supplemental Information; Q1 2014 Target Date Materials			4/16/2018	Meagher, Sanchez, Petti
PX1240	DX578	NYU0004589	NYU0004591	2/26/2014	2014_02_26 NYU Retirement Committee Minutes			4/16/2018	Meagher, Sanchez, Petti
PX1246		CLC0040814	CLC0040836	5/22/2014	Attachment to CLC0040656: NYU Fiduciary Due Diligence Report 1Q 2014 Target Date Analysis			4/16/2018	Geist
PX1248	DX461	NYU0029044	NYU0029064	6/14/2010	Supplemental Fiduciary Committee Information			4/30/2018	Meagher
PX1253		CLC0041006	CLC0041091	5/22/2014	Attachment to CLC0041003: NYU, NYU LMC, and NYU Polytechnic Fiduciary Due Diligence Report			4/16/2018	Geist
PX1273 PX1274 PX1275 PX1276 PX1277 PX1278 PX1279	DX448	CLC0043275	CLC0043425	12/5/2014	E-mail to committee with 12-11-2014 Meeting Agenda; 8-2014 Minutes; 10-2014 Minutes; Summary of Trustee Changes; Q3 2014 Cammack Due Diligence Report; Q3 2014 Supplemental Report				Meagher, Sanchez, Petti
PX1278	DX500	CLC0043284	CLC0043363	9/30/2014	Attachment to CLC0043275: NYU, NYU LMC, and NYU Polytechnic Fiduciary Due Diligence Report			4/18/2018	Meagher, Geist
PX1279	DX501	NYU0099642	NYU0099703	9/30/2014	Supplemental Investment Information			4/16/2018	Meagher

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PX Number	DX Number	Beginning Bates	End Bates	Date	Description	Objections	Response	Date Admitted	Witness
PX1284 PX1285	DX425	CLC0044990	CLC0044991	4/8/2015	E-mail from Jan Rezler attaching excel file regarding Select Ivy Plus Institutions - Active Recordkeepers			4/18/2018	Petti
PX1291 PX1292 PX1293 PX1101 PX1294 PX1295 PX1296 PX1297	DX451	CLC0045592	CLC0045781	6/2/2015	E-mail to Committee with June 9, 2015 Meeting Agenda; Q4 2014 Meeting Notes; Q1 2015 Cammack Due Diligence Report; Q1 2015 Supplemental Report; DOL Fiduciary Brochure; Q1 2015 Fiduciary Responsibility			4/16/2018	Meagher, Sanchez, Petti
PX1294	DX504	NYU0003951	NYU0004038	3/31/2015	Cammack Due Diligence Report			4/16/2018	Meagher
PX1300 PX1301 PX1302 PX1303 PX1304 PX1305	DX452	CLC0048246	CLC0048408	9/11/2015	E-mail to Committee with 9-15-2015 Meeting Agenda; Q1 2015 Minutes; Q2 2015 Cammack Due Diligence Report; Q2 2015 Supplemental Report			4/18/2018	Meagher, Sanchez, Halley, Petti
PX1303	DX584	NYU0003849	NYU0003851	6/9/2015	2015_06_09 NYU Retirement Committee Minutes			4/19/2018	Halley, Sanchez, Petti, Meagher
PX1309		CLC0048843	CLC0048853	10/1/2015	Attachment to CLC0048840: NYU Retirement Plan - Optimization Issues (CRG Draft)			4/18/2018	Petti
PX1311 PX1312 PX1313 PX1419 PX1314 PX1315 PX1316 PX1317 PX1318 PX1319	DX453	CLC0049533	CLC0049707	12/10/2015	E-mail to Committee with 12-16-2015 Meeting Agenda; Q2 2015 Meeting Minutes; Q3 2015 Cammack Due Diligence Report; Vanguard Lower Cost Share Classes; Vanguard New Pricing Proposal; Q3 2015 Streamlined Fund Array; 2015 TIAA Revenue Credit Reallocation Methods; Q3 2015 Supplemental Report			4/16/2018	Meagher, Sanchez, Petti
PX1314	DX506	NYU0003499	NYU0003585	9/30/2015	Cammack Due Diligence Report			4/16/2018	Meagher
PX1321 PX1322 PX1323	DX423	CLC0050198	CLC0050203	2/18/2016	E-mail from Emily Wrightson to Trish Halley attaching exhibit D to Cammack Investment Advisory Services Agreement (Special Projects)*			4/23/2018	Rezler
PX1323		CLC0050200	CLC0050203	4/8/2009	Attachment to CLC0050198: Exhibit D to Cammack Investment Advisory Services Agreement (Special Projects)			4/16/2018	Geist
PX1324	DX507	CLC0050400	CLC0050506	12/31/2015	Attachment to CLC0050397: NYU, NYU LMC, and NYU Polytechnic Fiduciary Due Diligence Report			4/16/2018	Meagher, Geist
PX1331	DX587	NYU0113120	NYU0113121	3/2/2016	2016_03_02 NYU Retirement Committee Minutes			4/19/2018	Halley, Sanchez, Petti, Meagher
PX1335 PX1336 PX1337 PX1338 PX1339 PX1340 PX1341	DX045	CLC0054806	CLC0054993	9/1/2016	September 1, 2016 e-mail re: Retirement Committee Meeting Materials, attaching a due diligence report and other materials			4/18/2018	Meagher, Petti
PX1340	DX509	CLC0054814	CLC0054914	6/30/2016	Attachment to CLC0054806: NYU, NYU LMC, and NYU Lutheran Medical Center Fiduciary Due Diligence Report			4/16/2018	Meagher, Geist
PX1348	DX510	CLC0058214	CLC0058312	9/30/2016	Attachment to CLC0058178: NYU, NYU LMC, and NYU Lutheran Medical Center Fiduciary Due Diligence Report			4/16/2018	Meagher, Geist

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PX Number	DX Number	Beginning Bates	End Bates	Date	Description	Objections	Response	Date Admitted	Witness
PX1361		CLC0063687	CLC0063786	5/24/2017	Attachment to CLC0063684: NYU Q1 2017 Due Diligence Report			4/16/2018	Geist
PX1362	DX514	NYU0162759	NYU0162845	5/24/2017	Cammack Supplemental Investment Information			4/16/2018	Meagher
PX1366	DX592	NYU0163208	NYU0163211	2/23/2017	2017_02_23 NYU Retirement Committee Meeting Minutes			4/18/2018	Petti, Sanchez, Halley, Petti, Meagher
PX1378									Geist
PX1390	DX492	NYU0002023	NYU0002091	9/30/2013	Cammack Due Diligence Report*			4/16/2018	Meagher, CDDM Binder
PX1394		NYU0006863	NYU0006899	1/1/2010	NYU Retirement Plan for Members of the Faculty, Professional Research Staff and Administration			4/16/2018	Geist
PX1395	DX380	NYU0007315	NYU0007351	1/1/2010	NYU Faculty Plan Document*			4/16/2018	Geist, Wagner
PX1403									
PX1404									
PX1405									
PX1406									
PX0507									
PX0508									
PX1407									
PX1408									
PX1409									
PX1410									
PX1411	DX440	NYU0012656	NYU0012865	2/14/2012	2-14-2012 e-mail from Susanna Hollnsteiner attaching Retirement Committee materials and 11-18-2011 Plan Committee Charter			4/16/2018	Meagher, Sanchez
PX1436		NYU0039315	NYU0039315	12/11/2014	Attachment to NYU0039313: NYU Retirement Plan Amendment and Restatement				Geist
PX1446		NYU0039607	NYU0039627	6/14/2010	Attachment to NYU0039421: NYU Retirement Committee Meeting Supplemental Fiduciary Committee Information				Geist
PX1451									
PX1452	DX597	NYU0039731	NYU0039739	4/28/2016	E-mail from Patricia Halley attaching Retirement Plan Consolidation Proposal for Faculty Benefits Committee meeting			4/19/2018	Halley
PX1456	DX408	NYU0040986	NYU0040998	2/23/2017	NYU Defined Contribution Program: Request for Proposal - Executive Analysis*			4/16/2018	Buetow
PX1485		CLC0052659	CLC0052659	5/20/2016	Attachment to CLC0052655: NYU Bi-Weekly Project Status Meeting / Meeting Notes				Buetow
PX1493	DX767	NYU0099925	NYU0099953	1/1/2008	Vanguard Group Custodial Account Agreement*			4/16/2018	Meagher, Geist, Petti
PX1502		NYU0110843	NYU0110861	5/6/2009	Vanguard SOM Group Custodial Account Agreement			4/16/2018	Geist
PX1516		PLA-NYU-005390	PLA-NYU-005409		Minutes of the faculty senators council meeting				Buetow
PX1518		PLA-NYU-005410	PLA-NYU-006822		Minutes of the faculty senators council meeting				Buetow
PX1614		Vanguard-NYU_0046662	Vanguard-NYU_0046703	2/9/2011	Email Roman to Rotenberger Re: FW: NYU Books				Geist
PX1665	DX184	NYU0165006	NYU0165012	12/31/2017	NYU SOM Service Provider Summary*			4/16/2018	Wagner
PX1666	DX135	NYU0164806	NYU0164808	12/31/2017	Service Provider Summary*			4/16/2018	Petti
PX1668	DX549	NYU0000619	NYU0000621	11/8/2013	TIAA-CREF Custodial Revised Appendix A 11-8-2013 - SOM*			4/16/2018	Meagher
PX1669	DX550	NYU0000613	NYU0000615	4/4/2011	TIAA-CREF Custodial Revised Appendix A 4-4-2014 - SOM*			4/16/2018	Meagher
PX1670	DX547	NYU0000622	NYU0000622	10/17/2014	TIAA-CREF Custodial Addendum to Appendix A 10-17-2014 - SOM*			4/16/2018	Meagher, Petti
PX1671	DX543	NYU0000360	NYU0000360	9/26/2011	TIAA-CREF Addendum to Custodial Appendix A 9-26-2011*			4/16/2018	Petti
PX1673	DX088	NYU0163962	NYU0163987	3/31/2017	NYU Plan and Investment Notice; Summary of Plan Services and Costs; Investment Options Comparative Chart*			4/16/2018	Petti, Wagner
PX1674	DX150	TIAA_NYU_00041774	TIAA_NYU_00041794	6/30/2017	NYUSOM Plan and Investment Notice; Summary of Plan Services and Costs; Investment Options Comparative Chart*			4/16/2018	Meagher, Wagner
PX1676	DX460	CLC0008504	CLC0008749	6/30/2010	Cammack Due Diligence Report			4/16/2018	Meagher
PX1681				4/11/2018	Declaration of Michael Geist			4/18/2018	Geist
PX1682				4/5/2018	Declaration of Dr. Mark Crispin Miller			4/19/2018	Miller
PX1683				4/5/2018	Declaration of Dr. Monaco			4/19/2018	Monaco
PX1684				4/5/2018	Declaration of Dr. Straussner			4/19/2018	Straussner

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PX Number	DX Number	Beginning Bates	End Bates	Date	Description	Objections	Response	Date Admitted	Witness
PX1685				4/5/2018	Declaration of Dr. Sacerdote			4/19/2018	Sacerdote
	DX003	NYU0164134	NYU0164176	2016	2016 Form 5500 for the Faculty Plan*				Wagner, Turki
	DX004	NYU0164089	NYU0164133	2016	2016 Form 5500 for the Medical Plan*				Wagner, Turki
	DX005	Vanguard-NYU_0000040	Vanguard-NYU_0000050	1/1/2012	January 1, 2012 Vanguard Recordkeeping Fee Agreement for the Faculty Plan			4/18/2018	Petti, Halley, Meagher
	DX019	CLC0033465	CLC0033682	5/10/2012	May 10, 2012 e-mail re: NYU/NYU Langone Retirement Committee Meeting – May 17, 2012 with attachments			4/16/2018	Meagher
	DX021	TIAA_NYU_00031578	TIAA_NYU_00031997	9/25/2009	September 25, 2009 e-mail attaching the September 2009 TIAA Response to Request for Proposal Submission [includes printouts of an excel document attached to the e-mail, which was produced by TIAA in native format]*			4/23/2018	Rezler
	DX026	PLA-NYU-004657	PLA-NYU-004697	2011	2011 Form 5500 for the Medical Plan**			4/16/2018	Wagner
	DX027	PLA-NYU-004616	PLA-NYU-004656	2010	2010 Form 5500 for the Medical Plan**			4/16/2018	Wagner
	DX028	NYU0018987	NYU0019030	2012	2012 Form 5500 for the Medical Plan**			4/16/2018	Wagner
	DX032	PLA-NYU-004742	PLA-NYU-004785	2013	2013 Form 5500 for the Medical Plan **			4/16/2018	Wagner
	DX033	NYU0000518	NYU0000563	2014	2014 Form 5500 for the Medical Plan**			4/16/2018	Wagner, Turki
	DX035	PLA-NYU-004832	PLA-NYU-004876	2015	2015 Form 5500 for the Medical Plan**			4/16/2018	Wagner, Turki
	DX042	CLC0056516	CLC0056543	10/1/2016	October 2016 NYU Request for Proposal for Defined Contribution Recordkeeping Services**			4/16/2018, 4/23/2018	Rezler
	DX046	PLA-NYU-004248	PLA-NYU-004288	2010	2010 Form 5500 for the Faculty Plan**			4/16/2018	Wagner
	DX047	PLA-NYU-004289	PLA-NYU-004329	2011	2011 Form 5500 for the Faculty Plan**			4/16/2018	Wagner
	DX048	PLA-NYU-004330	PLA-NYU-004372	2012	2012 Form 5500 for the Faculty Plan**			4/16/2018	Meagher, Wagner
	DX049	PLA-NYU-004373	PLA-NYU-004415	2013	2013 Form 5500 for the Faculty Plan**			4/16/2018	Wagner
	DX050	NYU0000197	NYU0000240	2014	2014 Form 5500 for the Faculty Plan**			4/16/2018	Meagher, Wagner
	DX051	PLA-NYU-004166	PLA-NYU-004208	2015	2015 Form 5500 for the Faculty Plan**			4/16/2018	Wagner
	DX053			11/31/2011	2012 Investment Options Comparative Chart for the Medical Plan [filed as Exhibit 9 to ECF No. 46]			4/16/2018	Petti
	DX080	NYU0000104	NYU0000110	1/1/2012	NYU Retirement Plan Summary of Plan Services and Costs			4/18/2018	Petti, Wagner
	DX083	NYU0000154	NYU0000160	1/1/2013	NYU Retirement Plan Summary of Plan Services and Costs			4/18/2018	Petti, Wagner
	DX084	NYU0000072	NYU0000078	1/1/2014	NYU Retirement Plan Summary of Plan Services and Costs			4/18/2018	Petti, Wagner
	DX086	NYU0000079	NYU0000103	3/31/2015	NYU Plan and Investment Notice; Summary of Plan Services and Costs; Investment Options Comparative Chart			4/18/2018	Petti, Wagner
	DX087	NYU00006838	NYU00006862	3/31/2016	NYU Plan and Investment Notice; Summary of Plan Services and Costs; Investment Options Comparative Chart			4/18/2018	Petti, Wagner
	DX139	NYU0000310	NYU0000333	4/30/2013	Vanguard All-in Fee Disclosure - NYU				Petti, Meagher, Wagner
	DX145	NYU0000502	NYU0000517	12/31/2011	NYUSOM Retirement Plan Investment Options Comparative Chart			4/16/2018	Meagher, Wagner
	DX147	NYU0163377	NYU0163397	6/30/2014	NYUSOM Plan and Investment Notice; Summary of Plan Services and Costs; Investment Options Comparative Chart			4/16/2018	Meagher, Wagner
	DX148	NYU0163436	NYU0163458	6/30/2015	NYUSOM Plan and Investment Notice; Summary of Plan Services and Costs; Investment Options Comparative Chart			4/16/2018	Meagher, Wagner
	DX149	NYU0163399	NYU0163420	6/30/2016	NYUSOM Plan and Investment Notice; Summary of Plan Services and Costs; Investment Options Comparative Chart			4/16/2018	Meagher, Wagner
	DX196	TIAA_NYU_00015534	TIAA_NYU_00015539	12/31/2010	Brown 2010 Q4 Statement*			4/16/2018	Meagher
	DX260	TIAA_NYU_00016709	TIAA_NYU_00016718	6/30/2017	Miller 2017 Q2 Statement*			4/19/2018	Miller
	DX344	TIAA_NYU_00016651	TIAA_NYU_00016658	9/30/2009	Straussner 2009 Q3 Statement*			4/19/2018	Straussner
	DX376	TIAA_NYU_00016859	TIAA_NYU_00016872	3/31/2017	Straussner 2017 Q1 Statement*			4/16/2018	Meagher
	DX377	TIAA_NYU_00016873	TIAA_NYU_00016886	6/30/2017	Straussner 2017 Q2 Statement*			4/19/2018	Straussner
	DX378	NYU0083541	NYU0083584	1/1/2017	NYU Faculty Plan Document*				Wagner
	DX382	NYU0000418	NYU0000434	January, 2017	NYU Faculty Summary Plan Description**			4/16/2018	Petti
	DX383	NYU0037384	NYU0037407	June, 2016	NYU Faculty Summary Plan Description**			4/16/2018	Petti
	DX384	NYU0006423	NYU0006438	November, 2011	NYU Faculty Summary Plan Description**			4/16/2018	Petti

PX Number	DX Number	Beginning Bates	End Bates	Date	Description	Objections	Response	Date Admitted	Witness
	DX386	NYU0116960	NYU0117004	1/1/2016	NYU SOM Plan Document			4/16/2018	Meagher, Wagner
	DX387	NYU0111010	NYU0111037	11/1/2012	NYU SOM Summary Plan Description**			4/16/2018	Meagher
	DX388	NYU0037432	NYU0037453	6/1/2015	NYU SOM Summary Plan Description**			4/16/2018	Meagher
	DX389	NYU0000639	NYU0000662	June, 2016	NYU SOM Summary Plan Description**			4/16/2018	Meagher
	DX390	NYU0120770	NYU0120793	November, 2016	NYU SOM Summary Plan Description**			4/16/2018	Meagher, Fischel
	DX391	NYU0026355	NYU0026361	1/1/2014	Investment Policy Statement*				Wagner
	DX400	CLC0019135	CLC0019197	1/15/2010	TIAA-CREF Response to Follow Up Questions for NYU, NYU Langone Medical Center and NYU Polytechnic Institute, dated January 15, 2010*			4/23/2018	Rezler
	DX404	CLC0058915	CLC0058948	12/1/2016	2016 RFP Cammack Analysis			4/16/2018	Rezler, Halley, Petti
	DX405	CLC0019499	CLC0019831	11/16/2016	2016 TIAA Response to RFP*			4/23/2018	Rezler, Wagner
	DX406	CLC0020269	CLC0020358	2016	2016 VALIC Response to RFP*			4/23/2018	Rezler, Wagner
	DX414	CLC0018269	CLC0018276	March, 2010	Cammack Retirement Plan Consulting Services Proposal*				Wagner
	DX415	CLC0034037	CLC0034077	6/13/2012	E-mail from Jeffrey Levy to Mark Petti and Jan Rezler attaching 2011 Higher Education Retirement Plan Survey Report			4/18/2018	Petti, Meagher
	DX418	CLC0038417	CLC0038443	10/15/2013	E-mail from Jan Rezler attaching October 2013 Higher Education Retirement Plan Survey Report**			4/16/2018	Petti
	DX420	CLC0038248	CLC0038338	9/30/2013	E-mail from Jan Rezler to Susanna Hollensteiner and Mark Petti attaching 2013 Transamerica Higher Education Retirement Plan Survey Report and 2012 Cammack Higher Education Retirement Plan Survey Report			4/18/2018	Petti
	DX427	CLC0048762	CLC0048766	9/21/2015	E-mail from Jan Rezler to Mark Petti Exhibit D to Cammack Investment Advisory Services Agreement (Special Projects)			4/16/2018	Meagher
	DX436	CLC0025965	CLC0026081	3/29/2011	E-mail to Committee with 3-28-2011 TIAA Plan Changes Discussion, 3-21-2011 Meeting Minutes, 4-1-2011 Supplemental Report			4/17/2018	Sanchez, Meagher
	DX441	CLC0034499	CLC0034630	8/28/2012	E-mail to Committee with 9-4-2012 Meeting Materials**			4/16/2018	Sanchez, Petti
	DX444	CLC0037681	CLC0037848	6/6/2013	E-mail to Committee with 2-2013 Retirement Minutes; 6-14-2013 Meeting Agenda; 9-28-2012 Committee Charter; 457b info; 3-31-2013 Cammack Due Diligence Report; 3-31-2013 Supplemental Investment Information; Vendor Consolidation Information; Sample Implementation Timeline; Share Class and Fee Options			4/16/2018	Meagher, Sanchez, Petti
	DX447	CLC0041961	CLC0042104	8/15/2014	E-mail to Committee with 8-19-2014 Meeting Agenda; 6-2014 Meeting Minutes; Q2 2014 Cammack Due Diligence Report; Q2 2014 Supplemental Report**			4/16/2018	Meagher, Sanchez
	DX449	CLC0044170	CLC0044318	2/20/2015	E-mail to Committee with 2-26-2015 Agenda; 12-2014 Meeting Minutes; Q4 2014 Cammack Due Diligence Report; Q4 2014 Supplemental Report; CREF Annuity Changes; CREF Annuity Impact**			4/16/2018	Meagher, Sanchez, Petti
	DX456	CLC0006183	CLC0006336	5/15/2009	Cammack Due Diligence Report			4/17/2018	Meagher
	DX459	CLC0007515		3/31/2010	Supplemental Information			4/16/2018	Meagher
	DX462	NYU0028941	NYU0028960	7/14/2010	Vendor Consolidation*				CDDM Binder, Wagner
	DX465	NYU0097255	NYU0097266	November, 2010	Vendor Consolidation Overview*				CDDM Binder, Wagner
	DX470	NYU0085001	NYU0085009	February, 2011	Retirement Plan Vendor Consolidation*				CDDM Binder
	DX473	CLC0011320	CLC0011338	4/1/2011	Supplemental Information			4/16/2018	Meagher
	DX477	CLC0013102	CLC0013280	9/30/2011	Cammack Due Diligence Report			4/16/2018	Meagher
	DX479	CLC0013411	CLC0013584	12/31/2011	Cammack Due Diligence Report			4/16/2018	Meagher
	DX484	NYU0032593	NYU0032723	9/4/2012	NYU Retirement Committee Meeting Materials, including Abridged 6-30-2012 Due Diligence Report			4/16/2018	Meagher
	DX493	NYU0029941	NYU0030002	9/30/2013	Supplemental Investment Information*			4/16/2018	Meagher, CDDM Binder
	DX497	NYU0004366	NYU0004451	3/31/2014	Cammack Due Diligence Report*			4/16/2018	Meagher, CDDM Binder
	DX511	NYU0032724	NYU0032825	2/27/2017	NYU Retirement Committee Meeting Materials, including 12-31-2016 Due Diligence Report			4/16/2018	Meagher
	DX513	CLC0000514	CLC0000641	5/24/2017	NYU Retirement Committee Meeting Materials, including 3-31-2017 Due Diligence Report			4/16/2018	Meagher

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PX Number	DX Number	Beginning Bates	End Bates	Date	Description	Objections	Response	Date Admitted	Witness
	DX520	NYU0164818	NYU0164927	3/16/2018	Q4 2017 Retirement Committee Meeting Materials and Due Diligence Report			4/16/2018	Meagher
	DX521	NYU0164928	NYU0165000	3/16/2018	Q4 2017 Supplemental Investment Information			4/16/2018	Meagher
	DX524	NYU0001813	NYU0001817	1/18/2014	2014 NYU, NYU School of Medicine, and NYU Hospitals Center Retirement Plan Committee Charter**			4/16/2018	Meagher, Wagner
	DX526	NYU0000244	NYU0000257	3/10/2008	2008 Faculty TIAA Recordkeeping Services Agreement			4/16/2018	Meagher, Halley, Petti
	DX527	NYU0000416	NYU0000417	8/31/2008	August 31, 2008 Amendment No. 1 to TIAA-CREF Recordkeeping Services Agreement for the Faculty Plan			4/18/2018	Petti
	DX528	NYU0000274	NYU0000275	3/9/2012	March 9, 2012 Amendment No. 2 to TIAA-CREF Recordkeeping Services Agreement for the Faculty Plan			4/18/2018	Petti
	DX529	NYU0000241	NYU0000243	7/9/2012	July 9, 2012 Amendment No. 3 to TIAA-CREF Recordkeeping Services Agreement for the Faculty Plan			4/18/2018	Petti
	DX530	NYU0000410	NYU0000415	9/18/2015	September 18, 2015 Amendment No. 4 to TIAA-CREF Recordkeeping Services Agreement for the Faculty Plan.			4/18/2018	Petti
	DX531	NYU0000276	NYU0000276	9/26/2011	Addendum to Schedule B of the Faculty TIAA Record Keeping Agreement			4/18/2018	Petti
	DX532	NYU0000564	NYU0000585	10/1/2012	October 1, 2012 TIAA-CREF Recordkeeping Services Agreement for the Medical Plan			4/18/2018	Petti, Halley, Meagher
	DX533	NYU0000589	NYU0000592	8/22/2016	August 22, 2016 Amendment No. 1 to TIAA-CREF Recordkeeping Services Agreement for the Medical Plan			4/16/2018	Meagher
	DX534	NYU0000593	NYU0000596	11/8/2013	2013 SOM TIAA Recordkeeping Agreement Schedule B			4/16/2018	Meagher
	DX535	NYU0000616		4/4/2014	2014 SOM TIAA Recordkeeping Agreement Schedule B			4/16/2018	Geist, Meagher
	DX536	NYU0000611	NYU0000612	10/7/2014	Addendum to Schedule B of the SOM TIAA Record Keeping Agreement			4/16/2018	Meagher
	DX537	NYU0110247	NYU0110251	3/24/2017	Amendment No. 1 to the SOM Amended and Restated Record Keeping Services Agreement			4/16/2018	Meagher
	DX538	NYU0036483	NYU0036522	1/1/2017	2017 SOM TIAA Amended and Restated Recordkeeping Services Agreement			4/16/2018	Meagher
	DX540	NYU0116163	NYU0116177	1/1/2017	TIAA SOM Amended and Restated Custodial Agreement 1-1-2017			4/16/2018	Meagher
	DX541	NYU0157318	NYU0157320	8/22/2016	SOM Custodial Account Agreement - Revised Appendix A			4/18/2018	Petti, Meagher
	DX542	NYU0120613		12/1/2016	TIAA Custodial & Custodial Appendix A 12-1-2016			4/16/2018	Meagher
	DX545	NYU0000334	NYU0000348	3/10/2008	Custodial Account Agreement for a 403(b) Plan - SOM			4/18/2018	Petti
	DX546	NYU0000597	NYU0000610	10/1/2012	TIAA Custodial Account Agreement for a 403(b) Plan - SOM			4/16/2018	Meagher
	DX548	NYU0000408	NYU0000409	9/9/2008	Amendment No. 1 - Custodial Account Agreement between 403(b) and JP Morgan Chase Bank - Faculty			4/18/2018	Petti
	DX554	NYU0083304	NYU0083306	2/4/2009	2009_02_04 NYU Retirement Committee Minutes*			4/16/2018	Sanchez, Meagher
	DX560	NYU0002872	NYU0002876	3/18/2010	2010_03_18 NYU RFP Follow-Up Meeting Minutes (mistakenly dated 3/18/2009)			4/16/2018	Sanchez, Meagher
	DX562	NYU0002881	NYU0002883	6/14/2010	2010_06_14 NYU Retirement Committee Minutes				Sanchez, Meagher
	DX569	NYU0004043	NYU0004046	8/15/2011	2011_08_15 NYU Retirement Committee Minutes			4/16/2018	Sanchez
	DX637	TIAA_NYU_00089538	TIAA_NYU_00089538	12/31/2017	NYU Faculty Plan Quarterly Assets and Participant Count**			4/16/2018	Wagner
	DX638	TIAA_NYU_00089539	TIAA_NYU_00089539	12/31/2017	NYU SOM Plan Quarterly Assets and Participant Count**			4/16/2018	Wagner
	DX679	No Bates number; available on Edgar		9/30/2017	TIAA REA Fact Sheet **			4/16/2018	Fischel
	DX689	No Bates number; available on Edgar		5/1/2017	TIAA REA Prospectus**			4/16/2018	Fischel
	DX691	TIAA_NYU_00081485	TIAA_NYU_00081517	8/31/2009	TIAA REA 8-K, includes Quarterly Analysis for the Quarter Ending 6/30/2009**			4/16/2018	Chittenden, Fischel
	DX693	No Bates number; available on Edgar		12/31/2009	TIAA REA 8-K, includes Quarterly Analysis for the Quarter Ending 12/31/2009				Fischel
	DX701	No Bates number; available on Edgar		12/31/2011	TIAA REA 8-K, includes Quarterly Analysis for the Quarter Ending 12/31/2011			4/23/2018	Chittenden, Fischel
	DX724	No Bates number; available on Edgar		9/30/2017	TIAA REA 8-K, includes Quarterly Analysis for the Quarter Ending 9/30/2017			4/23/2018	Chittenden, Fischel
	DX727	TIAA_NYU_00071318	TIAA_NYU_00072258	6/30/2011	2011 CREF Semiannual Report, June 30, 2011**			4/16/2018	Fischel

Documents in Evidence Without Objection

PX Number	DX Number	Beginning Bates	End Bates	Date	Description	Objections	Response	Date Admitted	Witness
	DX733	TIAA_NYU_00080825	TIAA_NYU_00081347	6/30/2017	2017 CREF Semiannual Report, June 30, 2017**			4/16/2018	Fischel
	DX735	TIAA_NYU_00060393	TIAA_NYU_00060495	12/31/2010	2010 CREF Annual Report, December 31, 2010**			4/16/2018, 4/23/2018	Chittenden
	DX745	TIAA_NYU_00061315	TIAA_NYU_00061416	6/30/2011	2011 CREF Semiannual Report, June 30, 2011 Financial Statements**			4/16/2018, 4/23/2018	Chittenden
	DX752	TIAA_NYU_00060001	TIAA_NYU_00060085	5/1/2009	CREF Prospectus with 12/18/2009 Supplement No. 1**			4/16/2018	Chittenden
	DX753	TIAA_NYU_00060496	TIAA_NYU_00060589	5/1/2010	CREF Prospectus with 8/23/2010 Supplement No. 1**			4/16/2018	Chittenden, Fischel
	DX754	TIAA_NYU_00061004	TIAA_NYU_00061105	5/1/2011	CREF Prospectus with 8/24/2011 Supplement No. 1**			4/16/2018	Chittenden
	DX755	TIAA_NYU_00061527	TIAA_NYU_00061627	5/1/2012	CREF Prospectus **			4/16/2018	Chittenden
	DX756	TIAA_NYU_00062105	TIAA_NYU_00062200	5/1/2013	CREF Prospectus with 11/11/2013 Supplement No. 1**			4/16/2018	Chittenden
	DX757	TIAA_NYU_00062680	TIAA_NYU_00062773	5/1/2014	CREF Prospectus with 12/1/2014 Supplement No. 1**			4/16/2018	Chittenden
	DX758	TIAA_NYU_00063224	TIAA_NYU_00063326	4/24/2015	CREF Prospectus with 4/14/2016 Supplement No. 3, 12/10/2015 Supplement No. 2, 11/23/2015 Supplement No. 1**			4/16/2018	Chittenden
	DX759	TIAA_NYU_00063858	TIAA_NYU_00063973	5/1/2016	CREF Prospectus**			4/16/2018	Chittenden
	DX761	No Bates number		12/31/2009	CREF Stock Account Fact Sheet**			4/16/2018	Fischel
	DX764	Vanguard-NYU_0027166	Vanguard-NYU_0027170	10/2/2008	10-2-2008 Vanguard Recordkeeping Fee Agreement			4/18/2018	Petti
	DX765	Vanguard-NYU_0001156	Vanguard-NYU_0001160	7/1/2011	7-1-2011 Vanguard Recordkeeping Fee Schedule A			4/18/2018	Petti
	DX768	NYU0110480	NYU0110498	5/6/2009	Vanguard SOM Group Custodial Account Agreement			4/16/2018	Meagher
	DX849	NYU0027004	NYU0027005	11/14/2012	2012 Update on the Establishment of the Revenue Credit Accounts**			4/16/2018	Meagher, Petti
	DX853	TIAA_NYU_00006561	TIAA_NYU_00006567	12/31/2012	NYU SOM Service Provider Summary*				Turki
	DX854	TIAA_NYU_00006587	TIAA_NYU_00006590	12/31/2012	NYU SOM Investment Fee & Expense Disclosure*				Turki
	DX855	TIAA_NYU_00007233	TIAA_NYU_00007237	12/31/2013	NYU SOM Investment Fee & Expense Disclosure*				Turki
	DX856	TIAA_NYU_00007288	TIAA_NYU_00007294	12/31/2013	NYU SOM Service Provider Summary*				Turki
	DX857	TIAA_NYU_00008417	TIAA_NYU_00008421	12/31/2014	NYU SOM Investment Fee & Expense Disclosure*				Turki
	DX858	TIAA_NYU_00008471	TIAA_NYU_00008477	12/31/2014	NYU SOM Service Provider Summary*				Monaco, Turki
	DX859	TIAA_NYU_00009678	TIAA_NYU_00009682	12/31/2015	NYU SOM Investment Fee & Expense Disclosure*				Turki
	DX860	TIAA_NYU_00009728	TIAA_NYU_00009735	12/31/2015	NYU SOM Service Provider Summary*				Turki
	DX861	TIAA_NYU_00011096	TIAA_NYU_00011099	12/31/2016	NYU SOM Investment Fee & Expense Disclosure*				Turki
	DX862	TIAA_NYU_00011145	TIAA_NYU_00011152	12/31/2016	NYU SOM Service Provider Summary*				Turki
	DX874	PLA-NYU-007981	PLA-NYU-007998	12/31/2017	Monaco 2017 Q4 Statement			4/19/2018	Monaco
	DX883			5/19/2018	Meagher Declaration			4/16/2018	Meagher
	DX884			4/5/2018	Surh Declaration			4/23/2018	Surh
	DX885			5/21/2018	Sanchez Declaration			4/17/2018	Sanchez
	DX886			5/22/2018	Petti Declaration			4/18/2018	Petti
	DX887			4/5/2018	Declaration of Patricia Halley			4/19/2018	Halley
	DX888			4/5/2018	Dorph Declaration			4/23/2018	Dorph
	DX889			4/5/2018	Wagner Declaration				Wagner
	DX890			4/5/2018	Turki Declaration				Turki
	DX891			4/5/2018	Fischel Declaration				Fischel
	DX892			5/23/2018	Chittenden Declaration			4/18/2018	Chittenden
	DX893			4/5/2018	Rezler Declaration			4/23/2018	Rezler